



Attorney's Docket No. 74451.P127D4

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Edward L. Schwartz

Application No.: 09/801,360

Filed: March 6, 2001

For: METHOD AND APPARATUS FOR EDITING
AN IMAGE WHILE MAINTAINING
CODESTREAM SIZE

Examiner: Unknown

Art Unit: 2185

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE OF INCOMPLETE REPLY

In response to the Notice of Incomplete Reply dated October 2, 2001, regarding the Notice to File Missing parts of Application (Filing Date Granted) mailed April 13, 2001, please find enclosed:

- (1) a copy of the Response to Notice to File Missing parts, and accompanying documents, filed with the U.S. Patent and Trademark Office on August 13, 2001; and
- (2) a copy of the Notice of Incomplete Reply.

The previously filed oath or declaration is signed, as evidenced by our responsive papers filed on August 13, 2001. A copy of said papers is submitted herein again.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP



Dated: October 15, 2001

Michael J. Mallie, Reg. No. 36,591

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on

Date: 10-15-01

Name: _____


Melanie Lyons

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/801,360	03/06/2001	Edward L. Schwartz	74451.P127D4

CONFIRMATION NO. 4279

FORMALITIES LETTER



Michael J. Mallie
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Seventh Floor
12400 Wilshire Boulevard
Los Angeles, CA 90025-1026

Date Mailed: 10/02/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 08/16/2001 to the Notice to File Missing Parts (Notice) mailed 04/13/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.


The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The oath or declaration is unsigned.

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney's Docket No.: 74451.P127D4

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Schwartz, et al.)
)
Application No.: 09/801,360)
)
Filed: March 6, 2001)
)
For: METHOD AND APPARATUS FOR EDITING)
AN IMAGE WHILE MAINTAINING)
CODESTREAM SIZE)

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed April 13, 2001, please find enclosed:

- (1) a copy of the duly executed Declaration and Power of Attorney with respect to the parent application of the above-referenced patent application;
- (2) twenty six (26) sheets of substitute drawings in compliance with 37 C.F.R. 1.84;
- (3) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);
- (4) a copy of the Notice to File Missing Parts of Application;

(5) a Petition for a two (2) month extension of time under 37 C.F.R. § 1.136(a); and


(6) a check in the amount of \$390.00 in payment of the extension of time fee.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: August 13, 2001



Michael J. Mallie
Reg. No. 36,591

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8598



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APR 26 2001

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LOS ANGELES

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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Page 1 of 2

MTM
Rich Corp.

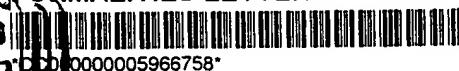
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/801.360	03/06/2001	Edward L. Schwartz	74451.P127D4

CONFIRMATION NO. 4279

Michael J. Mallie
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Seventh Floor
12400 Wilshire Boulevard
Los Angeles, CA 90025-1026

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APR 16 2001

FORMALITIES LETTER



BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES
Previously Docketed

Date Mailed: 04/13/2001

NOTICE TO FILE MISSING ~~PARTS OF~~ NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

MB

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

HC7



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AUG 22 2001

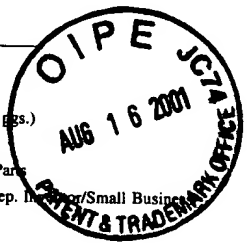
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

Serial/Patent No.: 09/801,360 Filing/Issue Date: 03/06/01
Client: Ricoh
Title: METHOD AND APPARATUS FOR EDITING AN IMAGE WHILE
MAINTAINING CODESTREAM SIZE
BSTZ File No.: 74451.P127D4 Atty/Secty Initials: MJM/mzb
Date Mailed: 08/13/01 Docket Due Date: 06/13/01

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | | |
|------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|---------------------------|
| <input type="checkbox"/> Amendment/Response (____ pgs.) | <input type="checkbox"/> Express Mail No.: _____ | XX Check No. <u>44094</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) | <input checked="" type="checkbox"/> <u>two</u> Month(s) Extension of Time | Amt: <u>\$130.00</u> |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract) | <input type="checkbox"/> Information Disclosure Statement & PTO 1449 (____ pgs.) | XX Check No. <u>44657</u> |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) | <input type="checkbox"/> Issue Fee Transmittal | Amt: <u>\$390.00</u> |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.) | <input type="checkbox"/> Notice of Appeal | |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.) | <input checked="" type="checkbox"/> Petition for Extension of Time | |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.) | <input type="checkbox"/> Petition for _____ | |
| <input type="checkbox"/> Application - Design (____ pgs.) | <input checked="" type="checkbox"/> Postcard | |
| <input type="checkbox"/> Application - PCT (____ pgs.) | <input type="checkbox"/> Power of Attorney (____ pgs.) | |
| <input type="checkbox"/> Application - Provisional (____ pgs.) | <input type="checkbox"/> Preliminary Amendment (____ pgs.) | |
| <input type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Reply Brief (____ pgs.) | |
| <input checked="" type="checkbox"/> Certificate of Mailing | XX Response to Notice of Missing Part | |
| <input checked="" type="checkbox"/> Declaration & POA (<u>5</u> pgs.) parent copy | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business | |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventions Signed Later (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate | |
| <input checked="" type="checkbox"/> Drawings: <u>26</u> # of sheets includes <u>37</u> figures | XX Fee Transmittal, in duplicate | |

☐ Other: _____





BLANCK, DOROLOFF, TAYLOR & LAFMAN, LLP
PARTNERSHIP INCLUDING LAW CORPORATIONS
173 CAMEAD PARKWAY
SUNNYVALE, CA 94088
408/700-8688

AMERICAN BAR ASSOCIATION
1000 L STREET, N.W.
WASHINGTON, D.C. 20004

44657

44657

August 13, 2001

THREE HUNDRED NINETY & NO/100

390.00

Director of the United States
Patent & Trademark Office
Washington, DC 20231-0001

⑈044657⑈ ⑆121137522⑆ 1890⑈67366⑈6⑈

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DETACH AND RETAIN THIS STATEMENT

Invoice: 08/13/2001 Amount: 390.00
145112704 - Ricoh Corporation
MJM - mzb
Extension of Time fee for patent
for "METHOD AND APPARATUS FOR EDITING AN IMAGE WHILE MAINTAINING CODESTREAM SIZE"
USSN: 09/801.360
Filed: 03/06/2001
Inv. Schwartz et al.

44657



RICOH CORPORATION
PATENT & TRADEMARK OFFICE
WASHINGTON, DC 20231-0001

WASHINGTON, DC 20231-0001

44094

44094

July 12, 2001

ONE HUNDRED THIRTY & NO/100

130.00

Director of the United States
Patent & Trademark Office
Washington, DC 20231-0001

044094 1121137522 1890673666

Invoice: 07/12/2001 Amount: 130.00
74451.912704 - Ricoh Corporation
MJM - mzb
Missing Parts filing fee
for "METHOD AND APPARATUS FOR EDITING AN IMAGE WHILE MAINTAINING CODESTREAM SIZE"
USPN: 09/801.360
Filed: 03/06/2001
Inv. Collick et al.
Schwartz

44094



FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) 520.00

Complete if Known:

Application No. 09/801,360
Filing Date March 6, 2001
First Named Inventor Schwartz, et al.
Group Art Unit 2185
Examiner Name Not yet assigned
Attorney Docket No. 74451.P127D4

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed: ☒ Check
☐ Credit Card
☐ Money Order
☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility application filing fee	
106	320	206	160	Design application filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional application filing fee	

SUBTOTAL (1) \$ 0

2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	<u> </u>	- 20** = <u> </u>	X <u> </u>	= <u> </u>
Independent Claims	<u> </u>	- 3** = <u> </u>	X <u> </u>	= <u> </u>
Multiple Dependent	<u> </u>		<u> </u>	= <u> </u>

**Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

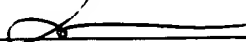
<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	390.00
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
195	300	195	300	Publication fee for early, voluntary, or normal publication	
196	300	196	300	Publication fee for republication	
194	130	194	130	Request for voluntary publication or republication	
098	130	098	130	Processing fee under 37 CFR 1.17(i)	
091	1,240	091	1,240	Acceptance of unintentionally delayed claim for priority	
Other fee (specify) _____					
Other fee (specify) _____					

SUBTOTAL (3) \$ 520.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Michael J. Mallie

Signature:  **Date:** August 13, 2001

Reg. Number: 36,591 **Telephone Number:** 408-720-8300

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



FIRST CLASS CERTIFICATE OF MAILING

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on 8/13/01

Date of Deposit

Mara E. Brown
Name of Person Mailing Correspondence

Mara E. Brown
Signature

8/13/01
Date

Serial/Patent No.: 09/801,360 Filing/Issue Date: 03/06/01

Client: Ricoh

Title: METHOD AND APPARATUS FOR EDITING AN IMAGE WHILE MAINTAINING CODESTREAM SIZE

BSTZ File No.: 74451.P127D4

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| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate | |
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☐ Other: _____